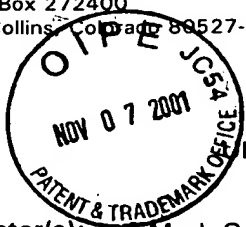


HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P. O. Box 272400
Fort Collins, Colorado 80527-2400

Section 117
PATENT APPLICATION

ATTORNEY DOCKET NO. 10004512-1



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Mark Crosbie, et al.

Confirmation No.: 2127

Application No.: 09/878,319

Examiner:

Filing Date: 6/12/01

Group Art Unit:

Title: SYSTEM AND METHOD FOR HOST AND NETWORK BASED INTRUSION DETECTION
AND RESPONSE

Box MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f) mailed on August 14, 2001. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

(X) Statutory basic filing fee (X) Utility \$740.00 () Design \$330.00

(X) Additional claim fees of \$ \$672.00

(X) Missing Parts Surcharge \$130.00

(X) A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

(X) one month \$110.00
() two months \$400.00
() three months \$920.00
() four months \$1440.00

Please charge to Deposit Account 08-2025 the sum of \$1652.00. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Mark Crosbie, et al.

By Kenneth M. Berner

Kenneth M. Berner

Attorney/Agent for Applicant(s)

Reg. No. 37,093

Date of Deposit:

Typed Name:

Signature: _____

Date: 11/7/01

Telephone No.: (703) 684-1111



IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Mark Crosbie, et al.

Application No.: 09/878,319

Filing Date: June 12, 2001

Title: SYSTEM AND METHOD FOR HOST AND NETWORK BASED INTRUSION DETECTION
AND RESPONSE

Batch No.:

Confirmation No.: 2127

Examiner:

Group Art Unit:

OFFICIAL DRAFTSPERSON
Drawing Processing Branch
Washington, D.C. 20231

DRAWING TRANSMITTAL LETTER

Sir:

Enclosed herewith please find:

- () _____ sheets of redlined drawing(s) which indicate proposed changes to the drawing(s). Upon approval of these proposed changes, formal drawing(s) will be submitted.
- () _____ sheets of corrected formal drawing(s), as required by the Notice of Patent Drawings Objection (PTO-948) which accompanied the Office Action dated _____.
- () _____ sheets of corrected formal drawing(s), as required by the Notice of Patent Drawings Objection (PTO-948) and approved in the Notice of Allowability dated _____.
- () _____ sheets of formal drawing(s). Please substitute these formal drawing(s) for the informal drawing(s) originally filed.
- (X) 5 _____ sheets of formal drawings. Drawings are submitted in response to Notice to File Missing Parts of Non-Provisional application dated August 14, 2001, in compliance with 37 CFR 1.84.

Examiner's approval of the entry of these drawings is respectfully requested. No new matter has been added.

Respectfully submitted,

Mark Crosbie, et al.

By Kenneth M. Berner

Kenneth M. Berner

Attorney/Agent for Applicant(s)

Reg. No. 37,093

Date: 11/7/01

Telephone No.: (703) 684-1111

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit:

Typed Name:

Signature: _____



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/878,319	06/12/2001	Mark Crosbie	10004512-1

RECEIVED

OCT 22 2001

CONFIRMATION NO. 2127

FORMALITIES LETTER



OC000000006422447

IP Administration
Legal Department, M/S 35
HEWLETT-PACKARD COMPANY
P.O. Box 272400
Fort Collins, CO 80528-9599

Lowe, Hauptman, Gilman & Berner

Date Mailed: 08/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/08/2001 SSITHIB1 00000035 082025 09878319

FILED UNDER 37 CFR 1.53(b)

01 EC:101	740.00 CH
02 EC:102	168.00 CH
03 FC:103	504.00 CH
04 FC:105	130.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$664.
 - \$504 for 28 total claims over 20.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1504.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

11/08/2001 SSITHIB1 00000035 082025 09878319
05 FC:115 110.00 CH

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY